

# Face Recognition System Using CNN Algorithm and Computer Vision

Poojitha Chennuru<sup>1</sup>, Dr. P. Nageswararao<sup>2</sup>, P. Ramesh<sup>3</sup>

<sup>1</sup>PG Scholar, Dept. of CSE, VEMU Institute of Technology, P.Kothakota, Chittoor.

<sup>2</sup>Associate Professor, Dept. of CSE, VEMU Institute of Technology, P.Kothakota, Chittoor.

<sup>3</sup>Assistant Professor, Dept. of CSE, VEMU Institute of Technology, P.Kothakota, Chittoor.

[chennurupoojitha@gmail.com](mailto:chennurupoojitha@gmail.com)<sup>1</sup> [puttanr@gmail.com](mailto:puttanr@gmail.com)<sup>2</sup> [rameshperamalasetty@gmail.com](mailto:rameshperamalasetty@gmail.com)<sup>3</sup>

## Abstract

The human face is a key factor in identifying a person. Even identical twins have distinctive faces. In order to identify one another, facial recognition and identification are necessary. As a result, we are putting forth a technique that can identify CNN models that are based on deep learning. Here, we're compiling a dataset of various faces. We train the data with the CNN method after preprocessing it. After training, we'll use OpenCV to evaluate the findings and upload the image for face recognition.

**Keywords:** Convolutional neural network, Face recognition, OpenCV.

## 1. Introduction

### 1.1 Intelligent systems:

Intelligent systems are becoming increasingly prevalent in people's life and frequently require identification when used with intelligent systems. Traditional methods of identification, which have glaring flaws, primarily identify people by certain personal features, including identity documents, such as documents and keys. They are quickly lost, forgotten, or fabricated. The outcome will be fairly excellent if you utilize some of the personal traits to identify, such as facial recognition, fingerprints, and so on. There are common parameters between the convolution layer and the CNN convolution layer in terms of algorithms. This has the benefit of lowering memory needs, which in turn lowers the number of parameters that must be taught. As a result, the algorithm's performance is enhanced. In contrast, other machine learning techniques need us to do feature extraction or preprocessing on the images. However, when utilizing CNN for image processing, we seldom ever need to perform these operations. Other machine learning methods are unable to accomplish this. Deep learning has several drawbacks as well. One of them is that building a depth model needs a lot of data, which restricts where this approach may be used. Since face recognition and licence plate character identification have made significant strides in recent years, this subject will conduct some basic research on CNN-based face recognition technologies.

## 2. Convolution neural network

### 2.1. Convolution Neural Network introduction:

Convolution neural networks have advanced, and as a result of their improved performance in competitions, they have been the subject of research. Reducing the number of learning parameters is a successful strategy for enhancing the forward BP algorithm's training performance. Convolution of the neural network's spatial connection can be used to achieve this. The suggested network topology, the convolutional neural network, reduces the preprocessing of the input data. Each layer in the structure of a convolution neural network contains a convolution kernel to get the most important data features. The input data is input from the first input layer, processed via each layer, and then into the other hierarchy. This technique may be used to acquire the previously described evident properties, such as translation, rotation, and similar features.

### 2.2. Convolution neural network basic structure:

Artificial and biological neural networks are the two categories into which neural networks may be subdivided. Artificial neural networks are mostly introduced here. The synaptic connections in the

brain are comparable in structure to artificial neural networks, which process information. Numerous neurons make up a neural network, and the output of one neuron can serve as the input for another.

The corresponding formula is as follows:

$$h_{W,b}(x) = f(W^T x) = f\left(\sum_{i=1}^3 W_i x_i + b\right)$$

The name of this component is also logistic regression model. The structure is now referred to as a neural network model when several neurons are connected to one another and when they were stacked. A neural network with hidden layers is seen in Figure 1.

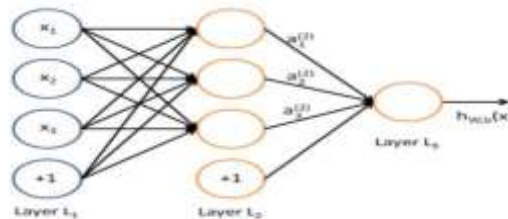


Figure 1. Neural Networks.

The input of this neural network is made up of X1, X2, and X3. The offset node, often called the intercept term, is at position +1. The input layer of the neural network is located in the left-most column of this neural network model, while the output layer is located in the right-most column. A hidden layer that is fully linked to both the input layer and the output layer makes up the network model's middle layer. The training sample set does not reveal the values of every node in the network model. We can observe from this neural network model that it has a total of three input units, three hidden units, and one output unit. Using nl to indicate the number of layers in the neural network, we can see that this neural network has three layers. Mark each layer now. The first layer may be denoted as L1, while the neural network's L1 output layer is represented by Lnl. The neural network in question has the following parameters:

$$(W, b) = (W^1, b^1, W^2, b^2)$$

Is the parameter that connects the jth layer 1 cell to the ith layer l+1 cell, and bi l is the offset of the ith layer l+1 cell. Set ai (l) to represent the output value of the first few cells in this layer in the neural network model. Let l represent this layer and I represent its initial few cells. IOP Publishing IOP Conf. Series: Earth and Environmental Science 170 (2018) 032110 doi:10.1088/1755-1315/170/3/032110 3 1234567890 "" 2nd International Symposium on Resource Exploration and Environmental Science We may use the formula  $h_{w,b}(x)$  to determine this neural network's output given the set of parameters W and b. Equation illustrates how forward propagation is calculated (3). Due to the multi-layered neural network and the necessity of gradient descent + chain derivation rule, neural network training methods and the logistic regression model are comparable. 3. CNN Model Building and Instruction CNN model, 3. LeNet5, AlexNet, ZF Net, GooLeNet, and VGGNet are now the categories into which the standard neural network design is categorised. The following will provide a full examination of the LeNet5 architecture. LeNet5 is a long-gone CNN classic structure that is mostly utilised in the identification of handwritten fonts. There are a total of seven structural layers in it; all save the input layer include training parameters, and each layer has several feature maps from which we may extract the input features.

### 3. Related Work

**Facial Expression Recognition Based on CNN Local Feature Fusion:** Deep neural networks are being used more often to train discriminative representations for automated facial expression recognition (FER) as the field moves from controlled lab settings to the more difficult situations of the

real world. Recent deep FER systems often concentrate on two key problems: overfitting brought on by a dearth of training data and expression-unrelated variables including lighting, head posture, and identification bias. In this article, we present a thorough overview of deep FER, complete with datasets and techniques that shed light on these fundamental issues. First, we present established guidelines for data selection and assessment for the extensively used datasets that are currently accessible. The conventional pipeline of a deep FER system is then described, along with background information and ideas for implementations that might work for each level. Reviewing current innovative deep neural networks and associated training methods created for FER based on both static pictures and dynamic image sequences, we analyse the benefits and drawbacks of each. This is the state of the art in deep FER. This section also summarizes competitive results on frequently recognized benchmarks. Then, we expand our poll to cover more relevant problems and usage scenarios. Finally, we examine the remaining issues, the accompanying possibilities, and the future prospects for the construction of strong deep FER systems in this area.

**Research on some key technologies of facial micro-expression recognition:** Numerous study fields, including the detection of human social/physiological interactions and the diagnosis of mental illnesses, can benefit from the use of facial expression recognition (FER). In place of laboratory settings, FER systems have been created to support real-world application sceneries thanks to the emergence of improved hardware and sensor technologies. The technological transfer from the laboratory to real-world applications meets a tremendous hurdle of extremely poor precision, about 50%, even though the laboratory-controlled FER systems attain very high accuracy, over 97%. In this study, we thoroughly cover three important issues that may not be resolved by simply analysing photographs or videos in the FER system, such as lighting variation, head posture, and subject-dependence, in unrestricted real-world contexts. We concentrate on the sensors that could add more data and aid FER systems in identifying emotion in both still photos and video clips. By addressing the difficulties outlined above in pure image/video processing, we offer three kinds of sensors that could assist in enhancing the accuracy and dependability of an expression recognition system. The first type consists of detailed-facial sensors, which can distinguish between the features of faces and background noise by detecting a little dynamic shift in a face component, such as the eyes. The second is non-visual sensors, such audio, depth, and EEG sensors, which give additional information outside the visual dimension and increase the identification accuracy in situations like changing lighting and position shift. Target-focused sensors, including infrared heat sensors, are the last option. They can assist FER systems filter out pointless visual information and may even help them withstand light change. We also go through how to combine various inputs from multimodal sensors in an emotion system. Reviewing the most popular multimodal emotional expression identification techniques side by side, we highlight their benefits and shortcomings. We extend our study to include the unresolved obstacles and issues by briefly introducing the benchmark data sets for FER systems for each type of sensors. As a support for the pure face image/video analysis, we provide a framework for an expression recognition system that makes use of multimodal sensor data (given by the three kinds of sensors). We conceptually examine the viability and attainability of our novel expression recognition system, particularly for application in the natural environment, and we highlight the future directions to create an effective, emotive expression recognition system.

**Expression recognition algorithm for constructing parallel convolutional neural networks:** One of the most significant areas of research in computer vision is the identification of facial expressions. According to studies on nonverbal communication, 55% of deliberate information is expressed by facial expressions. Recent years have seen a huge increase in the use of expression recognition in the medical and advertising fields. For the purpose of detecting facial expression from frontal faces, we have suggested a parallel Convolutional Neural Network (CNN) structure in this research. On the two most significant sub facial areas, CNNs are trained. The features from the parallel models will be concatenated to create the overall feature vector. We have discovered via experimentation that using such a method yields superior outcomes to models that use the whole face picture. Additionally, we evaluated how well we performed against other benchmark CNN architectures like AlexNet and VGG16.

**Research on Facial Expression Recognition Based on Convolutional Neural Networks:** Due to the significant intra-class diversity, facial expression identification has been an important study subject over the past few decades. Traditional methods for solving this issue start with hand-crafted features like SIFT, HOG, and LBP, then use a classifier that has been trained on a database of pictures or videos. On datasets of photographs taken under controlled conditions, the majority of these studies perform rather well, but they fall short on more difficult datasets with greater image variance and partial faces. A number of papers have recently presented an end-to-end system for recognizing facial expressions using deep learning models. Even if these works performed better, there still seems to be a lot of potential for development. In this study, we present a deep learning method based on attentional convolutional networks that can focus on key facial features and outperforms existing models on a variety of datasets, including FER-2013, CK+, FERF, and JAFFE. We also employ a visualization approach that, using the output of the classifier, can identify key facial areas for identifying various moods. We demonstrate through experimental findings that certain emotions appear to be responsive to various facial features.

**Research on Transfer Convolutional Neural Network for Facial Expression Recognition:** With the advancement of artificial intelligence and human-computer interaction in recent years, the identification and analysis of facial expressions have received increased focus. Due of the complexity and subtlety of face expressions, there have been many tremendous successes but also many unsatisfactory issues. Face recognition is still a difficult problem, as a result. The whole facial picture is frequently used as the input data in most articles. Only a few facial features, such the eyes, lips, and nose, are used in daily life to judge another person's present emotion; other features, including hair, skin tone, ears, etc., have less of an impact. The algorithm will output some useless information and miss some crucial information throughout the feature extraction process if the complete face image is utilised as the only input source. In order to address the aforementioned issue, this research suggests an approach that, by weighting, integrates several sub-regions and the full face picture. This method may capture more significant feature information that is helpful in enhancing identification accuracy. Four well-known, publicly accessible facial expression databases—JAFFE, CK+, FER2013, and SFEW—were used to assess our suggested methodology. The new technique performed better than the majority of cutting-edge techniques.

## 4. Methodology

### Proposed system

In our proposed study, we are putting into practice a technique that will be able to detect faces using a CNN model that is based on deep learning. Here, we're compiling a dataset of various faces. We train the data with the CNN method after preprocessing it. After training, we'll use OpenCV to evaluate the findings and upload the image for face recognition.

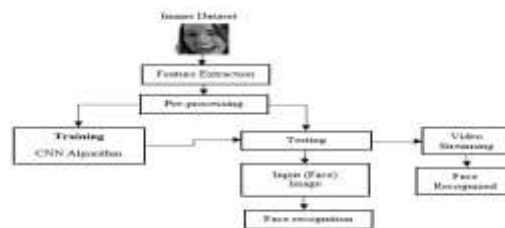


Figure 1: Block diagram

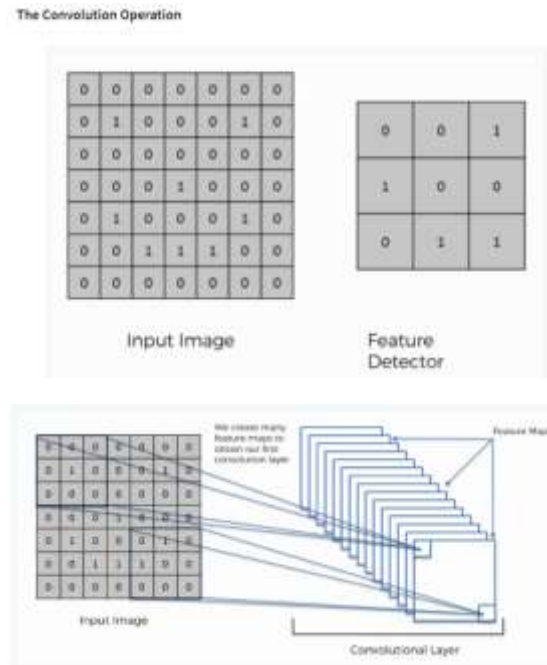
## 5. Implementation

The project has implemented by using below listed algorithms.

### 1. Convolutional Neural Network

### Step1: convolutional operation

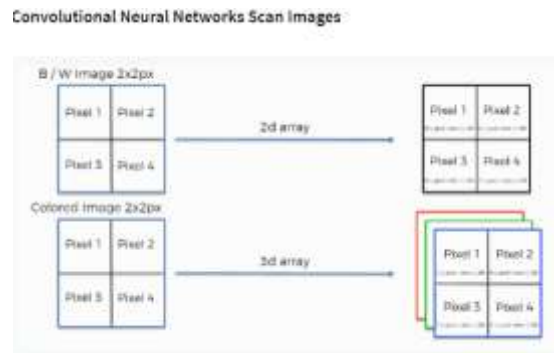
The convolution operation is the first component of our strategy. We will discuss feature detectors in this phase since they essentially act as filters for neural networks. Additionally, we'll talk about feature maps, their parameters, how patterns are found, the detection layers, and how the results are laid out.



### Step (1b): RELU Layer

The Rectified Linear Unit or ReLU will be used in the second portion of this process. We will discuss ReLU layers and examine the role of linearity in Convolutional Neural Networks.

Although it's not required to comprehend CNN's, it wouldn't hurt to take a brief course to advance your knowledge.



### Step 2: Pooling Layer

We'll discuss pooling in this section and learn exactly how it typically operates. But max pooling will be the central concept in this situation. However, we'll discuss a variety of strategies, including mean (or total) pooling. This section will conclude with a demonstration created with a visual interactive tool that will undoubtedly clarify the entire idea for you.

### Step 3: Flattening

Here's a quick explanation of the flattening procedure and how to switch between pooled and flattened layers when using convolutional neural networks.

#### Step 4: Full Connection

Everything we discussed in the previous section will be combined in this section. By understanding this, you'll be able to visualize Convolutional Neural Networks more clearly and understand how the "neurons" they create ultimately learn to classify pictures.

#### Summary

Finally, we'll put everything in perspective and provide a brief summary of the idea addressed in the section. If you think it will help you in any way (and it probably will), you should look at the additional tutorial that covers Cross-Entropy and Soft axe. Although it is not required for the course, it will benefit you greatly to be familiar with these principles since you will probably encounter them when working with convolutional neural networks.

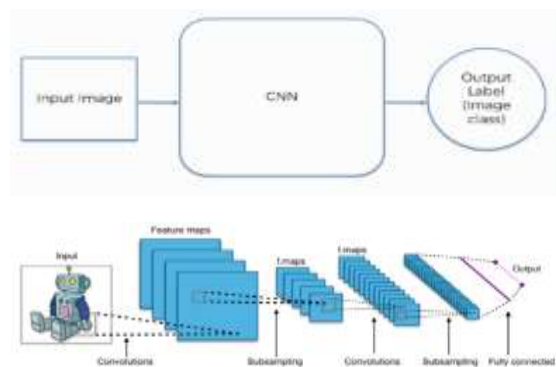


Fig. CNN Architecture

## 6. Results And Discussion

The below mentioned algorithms are depict the flow of the project.

**Recognize the face on image:** Here the image is recognized by uploading the image or picture of a person.



**Face Recognition Using Webcam:** Here the face is recognized by capturing the face through webcam.



## 7. Conclusion

In this project, we have successfully developed an application called face detection and recognition. Here, using the CNN algorithm, we created two different types of image- and video-based approaches. The dataset's performance was evaluated after training by uploading images and streaming videos with face inputs.

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